



September 2, 2004

WRITER'S DIRECT NUMBER: (317) 236-5972

Internet: powlick@icemiller.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAIL STOP: MISSING PARTS

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

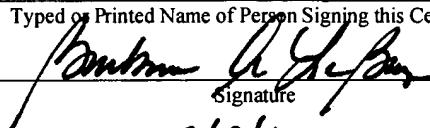
I hereby certify that this paper or fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to: MAIL STOP: MISSING PARTS, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

September 2, 2004

Date of Deposit

Barbara A. LaBarge

Typed or Printed Name of Person Signing this Certificate



Signature

9/2/04

Date of Signature

09/08/2004 FFANAEIA 00000058 10802257

03 FC:2251

55.00 DP

Re: Response to Notice of Missing Parts
Invention: DUAL BOSS SHUTTER SLAT WITH RETENTION PLATE
Inventor: Miller, James V.
Serial No.: 10/802,257
Conf. No. 4318
Filed: March 17, 2004
Our Docket: P00919-US-00 (25490.0030)

RESPONSE TO NOTICE OF MISSING PARTS

Sir:

In response to the enclosed Notice of Missing Parts dated June 4, 2004, Applicant hereby petitions for a one-month extension of time. The deadline for filing with a one-month extension of time is September 4, 2004.

Applicant encloses the following:

1. A Declaration and Power of Attorney executed by the inventor.
2. A check in the amount of \$505.00 for payment of:
 - a. the \$385 statutory basic filing fee (small entity),
 - b. the surcharge of \$65 under 37 C.F.R. §1.16(e) for late filing of the declaration and a copy of the Notice to File Missing Parts, and
 - c. the fee of \$55 for a one-month extension of time under 37 C.F.R.

§1.17(a)(1).

3. A Submission of Formal Drawings, along with seven pages of drawings, to replace Figures 1-9.

4. A Preliminary Amendment including:

- a. a replacement specification,
- b. an Abstract on a separate sheet, and
- c. amendments to the claims.

5. A copy of the Notice to File Missing Parts of Nonprovisional Application.

Please charge deposit account no. 09-0007 for any fee deficiency.

Applicant now believes that this application is complete. If there are any questions regarding the Notice of Missing Parts or the enclosed documents, then it is requested that the examiner contact the undersigned.

Respectfully submitted,

ICE MILLER



Jill T. Powlick, Reg. No. 42,088

ICE MILLER

One American Square, 31st Floor
Indianapolis, IN 46204

Telephone: (317) 236-5972

Facsimile: (317) 592-4610

Date: 9/2/04

Enclosures: Executed Declaration
Check in the amount of \$505.00
Submission of Formal Drawings (with 7 sheets of drawings)
Preliminary Amendment (with replacement Specification and Abstract)
Copy of Notice to File Missing Parts
Return Postcard



September 2, 2004

WRITER'S DIRECT NUMBER: (317) 236-5972
internet: jill.powlick@icemiller.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Invention: DUAL BOSS SHUTTER SLAT WITH RETENTION PLATE
 Inventor: Miller, James V.
 Serial No.: 10/802,257
 Conf. No. 4318
 Filed: March 17, 2004
 Our Docket: P00919-US-00 (25490.0030)

SUBMISSION OF FORMAL DRAWINGS

Dear Sir:

Enclosed are seven sheets of new drawings, Figs. 1-9, for the above identified application. Please replace the informal drawings, as originally filed, with the enclosed formal drawings.

Should there be any questions, the Draftsman is invited to contact the undersigned.

Sincerely,

ICE MILLER

Jill T. Powlick

Attorney Registration No. 42,088

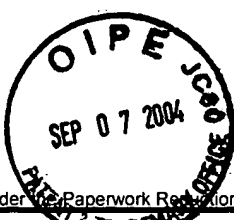
ICE MILLER

One American Square, 31st Floor
Indianapolis, Indiana 46204

Telephone: (317) 236-5972

Facsimile: (317) 592-4610

Date: 9/2/04



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 505.00

Complete if Known

Application Number	10/802,257
Filing Date	03/17/2004
First Named Inventor	James V. Miller
Examiner Name	Unknown
Art Unit	Unknown
Attorney Docket No.	P00919-US-00

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number 09-0007

Deposit Account Name ICE MILLER

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	385.00
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 385.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below		Fee Paid	
Total Claims		-20** =		X			
Independent Claims		-3** =		X			
Multiple Dependent							

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$ 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	65.00
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	55.00
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 120.00

SUBMITTED BY

Name (Print/Type) Jill Tabor Powlick

Registration No. 42,088
(Attorney/Agent)

(Complete if applicable)

Telephone 317-236-5972

Signature

Date 09/02/2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

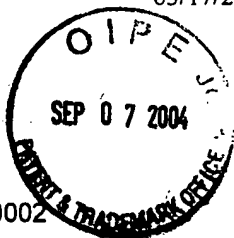


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/802,257	03/17/2004	James V. Miller	P00919-US-00

Russell E. Fowler, II
 ICE MILLER
 One American Square
 Box 82001
 Indianapolis, IN 46282-0002



CONFIRMATION NO. 4318

FORMALITIES LETTER



OC000000012865998

Date Mailed: 06/04/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/08/2004 FFANAEIA 00000058 10802257

FILED UNDER 37 CFR 1.53(b)

01 FC:2001
 02 FC:2051

385.00 OP
 65.00 OP

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52, 1.121(b)(3), and 1.125, is required. The specification, claims, or abstract page(s) submitted is not acceptable and cannot be scanned or properly stored because:
 - The line spacing on the specification, claims, or abstract is not 1½ or double spaced (see 37 CFR 1.52(b)).
- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:

- The drawings must be made on paper that has a white background (see 37 CFR 1.84 (e)). For example, drawings on graph paper, lined paper, or paper that has a non-white background are not acceptable. See Figure(s) 1-9..
- An abstract of the technical disclosure not exceeding 150 words in length and commencing on a separate sheet in compliance with 37 CFR 1.72(b) is required. An abstract was not provided for this application.


SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$450** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

